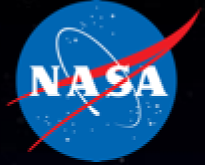
National Aeronautics and Space Administration

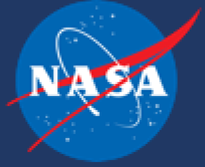


An Assessment of Cryogenic Analog Electronics for the Lunar Environment

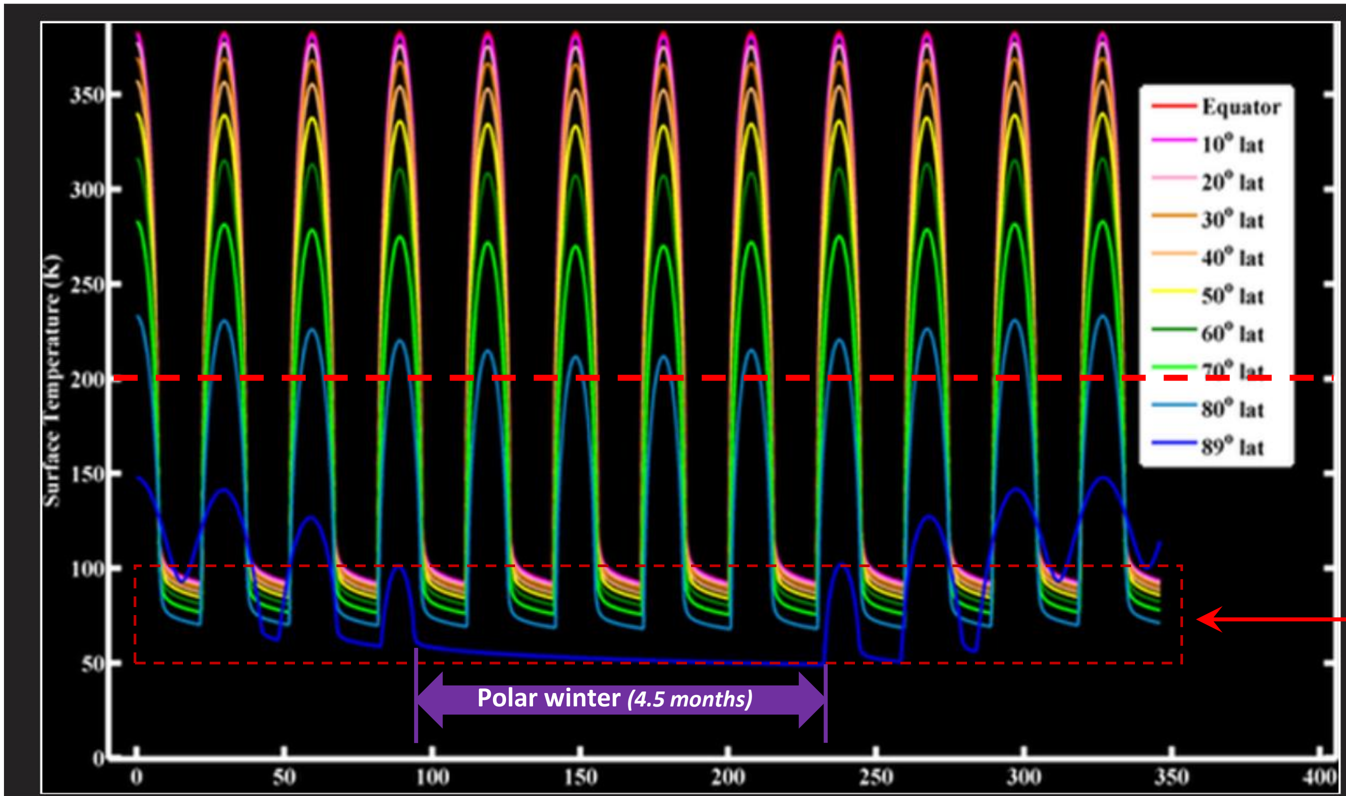
39th Annual Space Power Workshop
April 26-29, 2022

Richard C. Oeftering, Nicholas R. Uguccini, Lucia Tian
NASA Glenn Research Center
richard.c.oeftering@nasa.gov

Background
The Extreme Lunar Environment



LRO DIVINER: Lunar Day/Night Temperature Range by Latitude



Thermal model calculations of monthly & annual lunar surface temperature variations at various latitudes

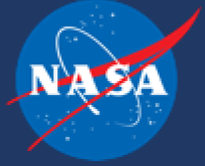
- Day: 100-400 K highs based on latitude
- Night: 50-100 K lows for *all* latitudes
  - Duration (non-polar): ~354 hrs (~15 Earth days)
  - Duration (polar): winter sun below horizon for ~4.5 months

Li-ion battery approx. freeze temperature

Lunar night is extremely cold *everywhere*

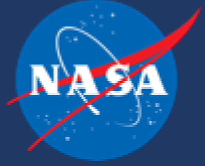
Image permissions per Dr. N Petro/NASA GSFC, Dr. D Paige/UCLA

Proposed Survival Strategy



- **Lunar Power Hibernation**
  - Extends capabilities & duration of lunar missions
  - Reduces dependency on radioisotopes, pre-established infrastructure
  - Success depends on
    - Cryo-tolerant Li-ion batteries: 18650 cells survive lunar night conditions
    - Cryo-tolerant electronics (majority of electronics passively survive)
    - Cryo-operable electronics to perform cold start and safely restore power
- **Hibernation Applications**
  - Commercial Lunar Payload Services (CLPS)
    - Landers currently provide only a single lunar day of operation
  - Robotic elements of the Artemis Program
  - Lunar *in situ* resource utilization (ISRU) systems
  - Survival & recovery options in contingency situations

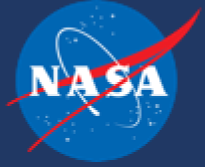
Background
Hibernation Electronics Definitions



- **Cryo-Tolerant**
  - Required for all spacecraft electronics (power, avionics, comm)
  - Must passively withstand thermal environment down to 50 K without damage
  - Can depend on manufacturing processes & materials/packaging

- **Cryo-Operable**
  - Required for hibernation electronics that restore power at lunar dawn
  - Must start up and operate in 50-100 K lunar dawn
  - Depends on device properties & stability of interactions

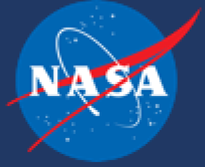
Background
Example Dawn Start-Up Sequence



Key
Cryo-tolerant
Cryo-operable ("Dawn Mode")
(#) – Dawn start-up sequence

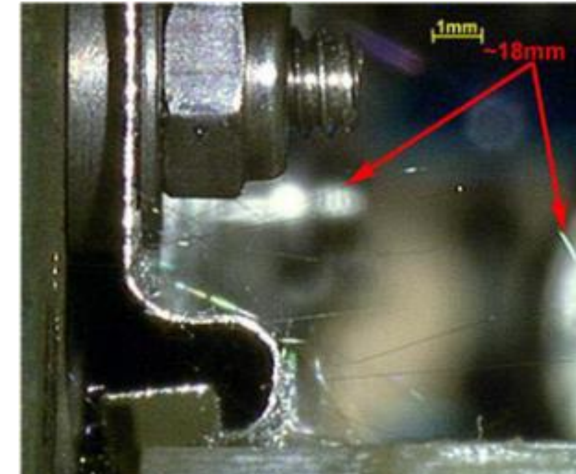
→ Power
→ Thermal
→ C&DH

Circuit Packaging: Printed Circuit Board (PCB)

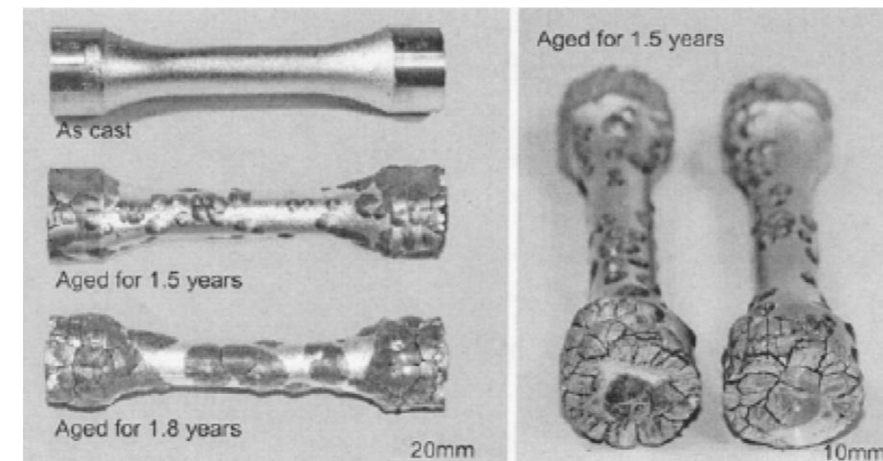


- Copper-clad laminate & fiberglass-reinforced plastic (FRP) have well-matched CTEs (*coefficients of thermal expansion*)
- Target small boards & devices, matched CTE, mechanical compliance
- Avoid pure tin: tin whiskers and tin pest

Feature	Recommendation	Mitigates
Board	Match material CTEs Minimize PCB size	PCB warping, Cu delamination, joint separation
Joints	Use smaller boards & smaller devices (size matters)	Strain & stress due to greater tolerance of CTE mismatch
	Limit thermal cycles below "fatigue life"	Progressive joint cracking & failure
Plating	Replace tin plating with nickel-gold	Tin whiskers
Soldering & Bonding	Avoid pure tin if possible; recommend Pb-Sn alloy (≥ 3% Pb) Indium is possible tin substitute	Tin whiskers Tin pest
Coatings	Avoid thick coatings with high CTE	Thermal stress
Encapsulation	Utilize encapsulants with matching CTE	Thermal fatigue on device wire bonds



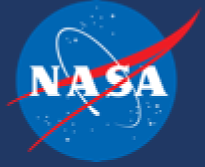
Tin whiskers: *Single-crystal tin filament growths can create short circuits.*



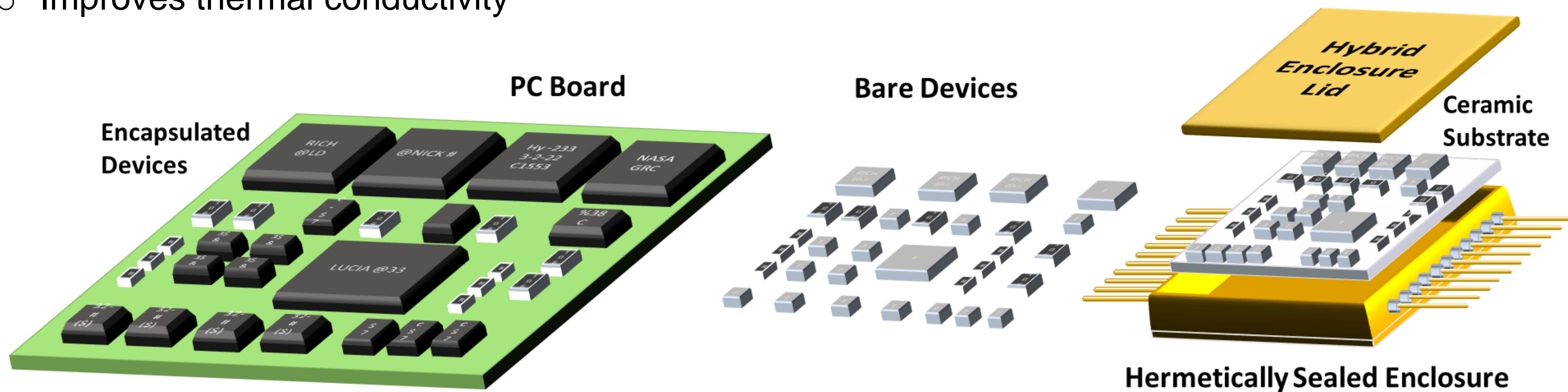
Tin pest: *Tin can transform to a brittle non-metallic form between 0°C and -30°C, expanding 27% and disintegrating joints.*

Image Credit: NASA Electronic Parts and Packaging (NEPP) Program

Cryo-Tolerant Electronics
Circuit Packaging: Hybrid Microcircuit

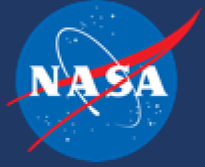


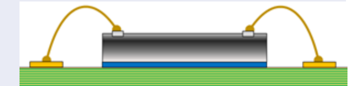
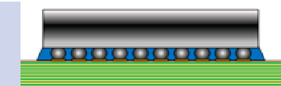
- Commonly used in analog circuits (semiconductors & passive components)
- Encapsulated in a hermetically sealed metal enclosure
  - Bare devices assembled on a low-CTE ceramic substrate
  - Connected directly to thick film traces via wire-bond or flip-chip technique
  - Reduces size and related thermal stress
  - Eliminates thermal stress from plastic encapsulants
  - Improves thermal conductivity

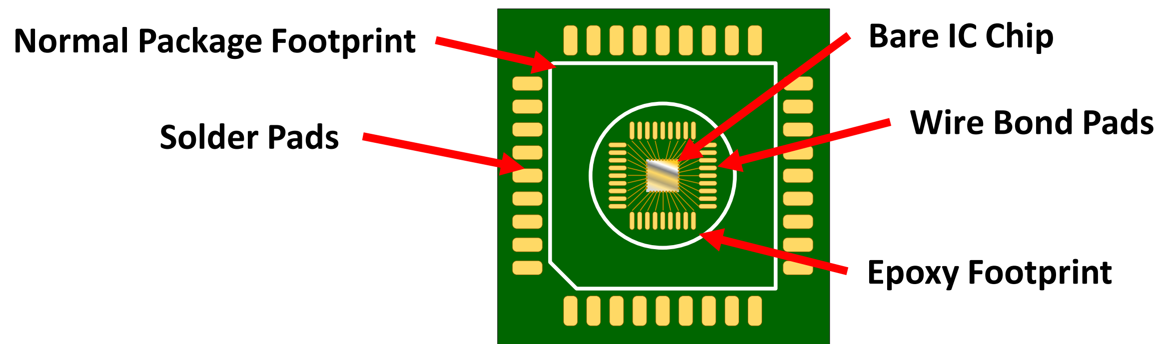


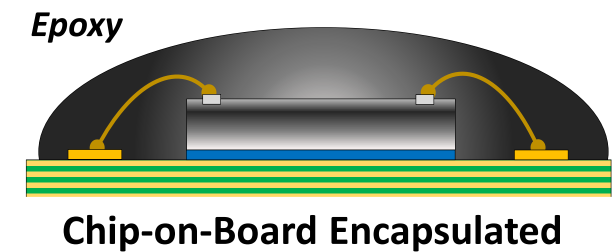
Conversion of PCB to Hybrid Circuit

Cryo-Tolerant Electronics
Circuit Packaging: Hybrid Microcircuit & COB



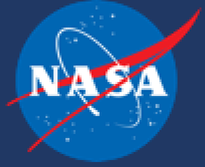
Circuit	Component	Description	Pros	Cons
Hybrid Circuit	Board	Miniaturized (single high-density module); Typically ceramic	• Smaller device footprint • No internal stresses from mismatched encapsulant CTE	• Cost • Limited number of layers
	Enclosure	Metal or ceramic	• Improved internal heat conduction • Shortened thermal paths	
	Substrate	Ceramic preferred	• CTE values tailorable	• Co-fired process for traces can be more costly & difficult
	Device mounting *(bare die)*	Wire bonding *(without encapsulant)*	• Compliant connection minimizes thermal expansion stress	• Larger footprint than flip-chip 
		Flip-chip – using solder bumps	• Smallest footprint 	• More susceptible to thermal stress
Chip-on-Board	Device mounting *(bare die to PCB)*	Wire-bond or flip-chip	• *See above*	• *See above*
	Encapsulation	Post-installation	• Low-cost alternative to hybrid encapsulation • Dramatic size reduction	• Unproven for cryo applications • Mismatched encapsulant CTE reduces life





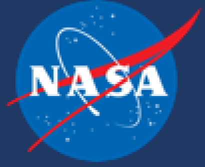
Chip-on-Board Encapsulated

Cryo-Operable Electronics
Semiconductor Devices



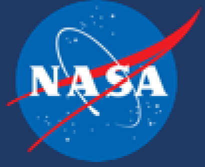
Device			Pros	Cons
Diodes	**P-N Junction Diode**		• Can be operational at lunar temperatures with proper design	• Forward voltage increases at cryo temperatures • On-resistance increases below 100 K
Diodes	**Schottky Diode**		• On-resistance decreases with temperature (GaN only)	• Forward voltage increases at cryo temperatures • On-resistance increases faster than P-N below 100 K (Si & SiC)
Transistors	**Bipolar Transistor**	Bipolar Junction Transistor (BJT)	• Increased gain at cryogenic temperatures (SiC)	• DC gain decreases dramatically with temperature (Si) • Likely unsuitable for use due to freeze-out (Si)
Transistors	**Bipolar Transistor**	SiGe Heterojunction Bipolar Transistor (HBT)	• Extreme mK performance (SiGe) • Transitions from thermal to electron tunneling conductance	• Significant property changes over 50-400 K
Transistors	**Field Effect Transistor (FET)**	Junction-Gate FET (JFET)	• Normally-on JFET performance at lunar night temperatures similar to that at room temperature (SiC)	• Carrier freeze-out increases on-resistance as temperatures decrease past ~200 K (VJFET more susceptible)
Transistors	**Field Effect Transistor (FET)**	Metal Oxide Semiconductor FET (MOSFET)	• On-resistance decreases with low temperature until ~77 K (Si) • Switching time improves with low temperature (Si)	• Threshold voltage increases; breakdown voltage decreases (Si) • Enhancement-mode SiC unsuitable – extreme carrier freeze-out
Transistors	**Field Effect Transistor (FET)**	High-Electron-Mobility Transistor (HEMT)	• On-resistance/switching time improves with low temperature; breakdown/threshold voltage doesn't change (GaN)	
Transistors	**Field Effect Transistor (FET)**	Complementary Metal-Oxide-Semiconductor (CMOS)	• Generally shares properties with Si MOSFETs	• Hot carrier injection reduces reliability
Transistors	**Insulated-Gate Bipolar Transistor (IGBT)**		• Improved switching speed, forward voltage, & transconductance	• Breakdown voltage decreases • Threshold voltage slightly increases

Cryo-Operable Electronics
Passive Components



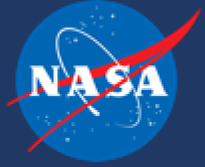
Component		Description	Pros	Cons
Resistors	Metal Film	• Resistive layer sputtered on substrate • Typically nichrome	• Low TCR (*temperature coefficient of resistance*), tight tolerances	
	Wire-Wound	• High-resistance, high-temp wire • Has inherent inductance	• Low TCR, tight tolerances	
	Thick Film	• Resistive paste deposited on ceramic substrate SMT		• Sensitive to temperature
	Bulk Metal Foil	• Metal foil laminated to ceramic substrate & etched	• Self-compensated TCR	• Testing needed to confirm cryo performance
Capacitors	Multilayer Ceramic (MLCC)	• Capacitor with a ceramic dielectric • Variety of compositions/properties	• Class I (paraelectrics): Good capacitance stability over temperature	• Class II (ferroelectrics): Higher variability over temperature ranges
	Electrolytic	• Polarized capacitor with electrolyte cathode • Produces high capacitance values	• Solid tantalum electrolytics will operate marginally	• Aluminum electrolytic (liquid): Electrolyte freezes at cryo temperatures • Tantalum electrolytic (solid): Higher dissipation factor & ESR, lowered capacitance at higher frequencies
Inductors	Air Core	• No core material • Generally lower inductance, higher saturation point	• Insensitive to temperature • Wire can go superconductive	
	Solid Core	• Solid cylindrical or toroidal core • Generally higher inductance, lower saturation point		• Requires special core material tailored for low losses at cryo temperatures

Device & Circuit Testing Recommendations



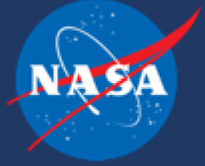
- **Device Characterization Tests**
  - Driven by modeling needs
  - Assess cold-start capability
  - Be aware of superconductivity effects – electron tunneling
- **Cryo-Tolerance Testing**
  - Screen legacy circuits for cryo-tolerance (tin, large devices, mismatched CTE)
  - Perform thermal cycling to evaluate structural integrity
  - Perform pre- & post-qual functional tests
  - Use non-destructive evaluation: ultrasound & x-ray to detect hidden flaws
- **Cryo-Operable Testing**
  - Screen legacy circuits for devices that will not operate at cryo temps
  - Perform thermal cycling to simulate lunar conditions (dT/dt rate)
  - Demonstrate cold starts

Summary



- **Lunar Power Hibernation Architecture**
  - Cryo-operable & cryo-tolerant electronics enable robust, low-cost robotic missions to operate over multiple lunar cycles
- **Component Findings**
  - Most semiconductors can operate at cryogenic temperatures (50-100 K)
    - Carrier freeze-out & electron tunneling may be a concern
  - Solutions exist for most implementations of passive devices
  - Circuits may require modification/compensation to span 50-400 K
- **Board Design Recommendations**
  - Minimize footprints, match CTE, avoid tin
  - Conventional PCB assemblies: expect limited life
  - New designs: use hybrid microcircuits
    - Improved protection, low CTE, long-term reliability

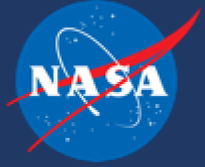
Future Work



- Publish cryo-electronics design guidelines
- Continue review of academic works, including on unreported components (film caps, crystal oscillators, etc.)
- Build parts model library for simulation
- Test cryogenic operation of discrete parts
- Develop prototype cryo-circuit based on guidelines
- Conduct circuit-level testing with batteries & solar cells

- **Seeking collaboration opportunities!**

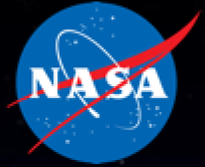
Thanks for Listening



An Assessment of Cryogenic Analog Electronics for the Lunar Environment

Acknowledgments

Ahmad Hammoud	GRC LME / Environmental Effects & Coatings Branch
Marcelo Gonzalez	GRC LEM / Power Management & Distribution Branch
Phil Neudeck	GRC LCS / Smart Sensing & Electronics Systems Branch
Randall Kirschman	Independent expert on extreme environment electronics
Akin Akturk	CoolCAD Electronics LLC
Rick Neufeld	Omni Circuit Boards Ltd.
Kurt Sacksteder & Gary Horsham	NASA GRC Center Innovation Fund (CIF)



Contact:

Richard C. Oeftering
Nick Uguccini
Lucia Tian

Power Architecture & Analysis Branch
NASA Glenn Research Center
Cleveland, OH 44135

richard.c.oeftering@nasa.gov